

The University of New Mexico
Board of Regents' Audit and Compliance Committee
September 2, 2016 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from May 5, 2016
3. The following 2016 calendar year meeting dates are being presented for Committee approval
October 20, 2016 (Exit Conference for FY16 External Audit)
The Committee meeting time for this meeting only is at 2:00 PM in the Roberts Room
4. Proposed Revisions to Regents' Policy 7.17 on Affiliated Organizations (*Pamina Deutsch, Director, UNM Policy Office; and Amy Wohlert, Chief of Staff, President's Office*)

INFORMATION ITEMS

5. Advisors' Comments
6. Follow-Up Items from May 5, 2016 Meeting
7. Main Campus Compliance Report (*Amy Wohlert, Chief of Staff, President's Office*)
8. Health Sciences Center Chief Compliance Officer Status Report (*Stuart Freedman, HSC Chief Compliance Officer*)
9. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)
Implemented
Pending
10. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)

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EXECUTIVE SESSION

11. Vote to close the meeting and to proceed in Executive Session as follows:

- a. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2
- b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
- c. Schedule of Audits in Process and FY17 Audit Work plan, pursuant to RPM 1.2
- d. Vote to re-open the meeting.

12. Certification that only those matters described in Agenda item # 11 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.

13. Adjournment